

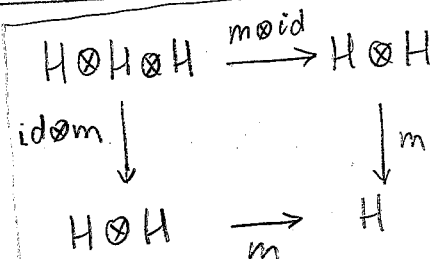
What is a Hopf algebra?

Lechue
1.24.12

A k -vector space with

$$m: H \otimes H \rightarrow H$$

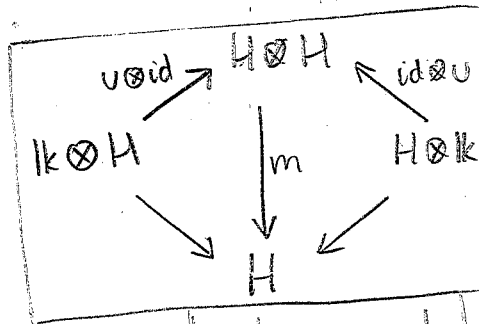
("multiplication")



associativity

$$U: k \rightarrow H$$

("unit")

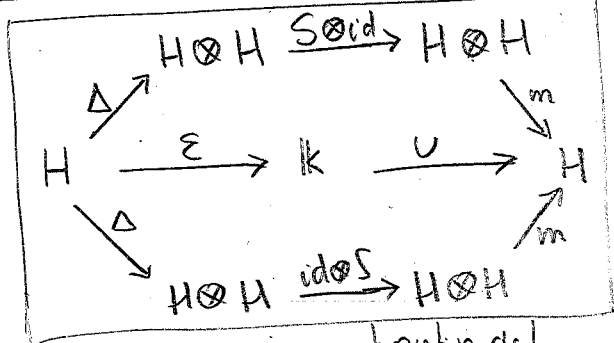


unitary property

algebra structure

$$S: H \rightarrow H$$

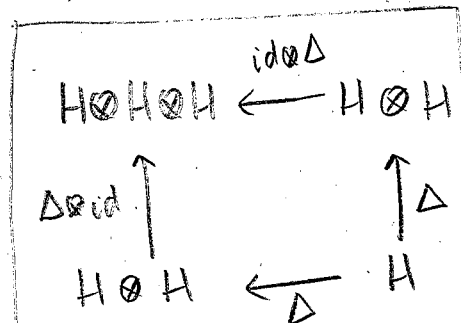
("antipode")



antipode

$$\Delta: H \rightarrow H \otimes H$$

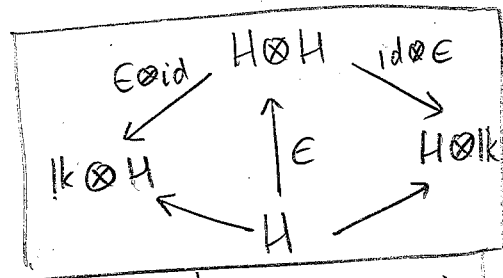
("comultiplication")



coassociativity

$$E: H \rightarrow k$$

("counit")



counitary property

coalgebra structure

"We do not want to assume that the readership is familiar with... Hopf algebra theory; and some find a direct passage to the starchy algebraists diet too abrupt. This is why we start... with a motivational discussion." (Figueras, Garcia-Berndt's Combinatorial Hopf algebras in quantum field theory.)

Intuitively, a Hopf algebra is a ^{ring} (vector space) H with a multiplication $m: H \otimes H \rightarrow H$ and a comultiplication $\Delta: H \rightarrow H \otimes H$ which satisfy several restrictive rules.

Tensor Product
of Vector Spaces

The tensor product $U \otimes V$ gives us a way of formally "multiplying" $u \in U, v \in V \mapsto "u \otimes v" \in U \otimes V$. An

$U \otimes V$ is k -span $\{(u, v) : u \in U, v \in V\} = F(u, v)$ modulo the relations:

$$I \begin{cases} \bullet (a+b, c) = (a, c) + (b, c) \\ \bullet (a, b+c) = (a, b) + (a, c) \\ \bullet (\lambda a, b) = (a, \lambda b) = \lambda(a, b) \end{cases} \lambda \in k$$

If U, V are rings, $U \otimes V$ also with $(u \otimes v)(u' \otimes v') = uu' \otimes vv'$

Exercise: If $\{u_i\}_{i \in I}, \{v_j\}_{j \in J}$ are bases for U, V , then $\{u_i \otimes v_j\}_{i \in I, j \in J}$ is a basis for $U \otimes V$.

Ex: $U = \mathbb{R}[x], V = \text{Mat}_{2 \times 2}(\mathbb{R})$ are v.s. over \mathbb{R}
In $U \otimes V$ I can do

$$(2+2x) \otimes \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = (1+x) \otimes \begin{pmatrix} 0 & 2 \\ -2 & 0 \end{pmatrix}$$

$$\neq 2 \otimes \begin{pmatrix} 0 & 1+x \\ -1-x & 0 \end{pmatrix}$$

Ex: $U = k[x]$

An elt of $U \otimes U$ is

$$(1+2x) \otimes (x-2x^2) = (1 \otimes x) + 2(x \otimes x) - 2(1 \otimes x^2) - 4(x \otimes x^2)$$

⚠ $\bullet (x \otimes x) \neq (1 \otimes x^2)$

• Not every element is a "pure tensor" $u \otimes v$.
(Example?)

Q What is $k[x] \otimes k[x]$?

Examples of Hopf algebras

Need $\bullet H$ - simultaneously a ring and vector space

• $m: H \otimes H \rightarrow H$

• $\Delta: H \rightarrow H \otimes H$

Satisfying several properties (which we won't check yet)

1. Groups

G group \rightarrow Group ring $k[G]$
 k field

$$k[G] = \left\{ \sum_{i=1}^n \lambda_i g_i : \lambda_i \in k, g_i \in G \right\}$$

Product: $m(g \otimes h) = gh$ (extend linearly)

Coproduct: $\Delta(g) = g \otimes g$ (extend linearly)

2. Polynomial Rings

$$H = k[x]$$

$$\text{product: } m(x^i \otimes x^j) = x^{i+j}$$

$$\text{coproduct: } \Delta(x) = 1 \otimes x + x \otimes 1$$

$$\begin{aligned} \Delta(x^2) &= \Delta(x \cdot x) \\ &= \Delta(x) \cdot \Delta(x) \\ &= (1 \otimes x + x \otimes 1) \cdot (1 \otimes x + x \otimes 1) \\ &= 1 \otimes x^2 + 2x \otimes x + x^2 \otimes 1 \end{aligned}$$

$$\Delta(x^n) = \sum_{i=0}^n \binom{n}{i} x^i \otimes x^{n-i}$$

4. Permutations (Claudia Mahenke 94)

$$H = k\{\text{perms of } [n], n \geq 0\}$$

product: "shuffling"

$$12 \otimes 321 = 12543 + 15243 + 15423 + 15432 + 51243 \\ + 51423 + 51432 + 54123 + 54132 + 54312$$

$U \otimes V = \text{sum of shuffles of } u \text{ and } v$

coproduct: "cut and standardize"

$$\Delta(42531) = 1 \otimes 42531 + 1 \otimes 2431 + 21 \otimes 321 \\ + 213 \otimes 21 + 3142 \otimes 1 + 42531 \otimes 1$$

$$\Delta(u) = \sum_{i=0}^n \text{st}(u_1 \dots u_i) \otimes \text{st}(u_{i+1} \dots u_n)$$

3. Graphs (Gian-Carlo Rota - late 70s, William Schmitt - late 80s)

$$H = k\{\text{isomorphism classes of finite graphs}\}$$

product: disjoint union

$$\triangle \times \triangle = \triangle \cup \triangle$$

coproduct: cut into pieces

$$\Delta(G) = \sum_{S \subseteq V(G)} G|_S \otimes G|_{V-S}$$

$$\Delta(\triangle) = (\triangle \otimes \rightarrow) + (\boxtimes \otimes \bullet) \\ + (\bullet \otimes \rightarrow) + \dots \quad (32 \text{ terms})$$

Other important examples:

- cohomology ring of a lie group
(Hopf, Samelson, Borel, 1940s)
- universal enveloping algebra of a lie algebra
- quantum groups
(Drinfeld 85)
- many more!

Ok, let's do this, one step at a time...

Algebras ("associative algebras")

Idea: Simultaneously a ring and a vector space.

\mathbb{k} -field A -ring with 1

Progressively more complicated flexible definitions:

① A is a \mathbb{k} -algebra if $\mathbb{k} \subseteq Z(A)$ and $1_{\mathbb{k}} = 1_A$

- $\mathbb{k} \subseteq A$
- $\lambda a = a \lambda$ for $\lambda \in \mathbb{k}, a \in A$

Ex: • $A = \mathbb{k}[x]$

• $A = \mathbb{k}[x_1, \dots, x_n]$

• $A = \text{Mat}_{n \times n}(\mathbb{k})$?

② A is a \mathbb{k} -algebra if there is a ring homom $u: \mathbb{k} \rightarrow A$ with $u(\mathbb{k}) \subseteq Z(A)$ and $u(1_{\mathbb{k}}) = 1_A$.

Ex: • $R = \text{Mat}_{n \times n}(\mathbb{k})$

$u: \mathbb{k} \rightarrow R$

$\lambda \mapsto \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} = \lambda I$

Observations:

- u must be injective, so $u(\mathbb{k}) \cong \mathbb{k}$
- A is a \mathbb{k} -vector space with $\lambda \cdot a := u(\lambda)a$
- R -algebras (R -ring with 1) are defined the same way.

These are rings and R -modules

⑦ • Z -algebra = ring

Homomorphisms:

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$\phi: A_1 \rightarrow A_2$ is an algebra homom. if

$$\phi(a+b) = \phi(a) + \phi(b)$$

$$\phi(ab) = \phi(a)\phi(b)$$

$$\phi(1_{A_1}) = 1_{A_2}$$

$$\phi(\lambda \cdot a) = \lambda \cdot \phi(a)$$

ring homom

→ vector space homom (linear map)

Observations:

- $B \subseteq A$ is a subalgebra if it is a subring and a subspace.
- If I is an ideal of A , then A/I is naturally a quotient algebra. Isomorphism theorems hold.
- $A_1 \times A_2$ is naturally a direct product algebra, with $u: \mathbb{k} \rightarrow A_1 \times A_2$ given by $u = (u_1, u_2)$.
- $A_1 \otimes A_2$ is a tensor product algebra.

③ A \mathbb{k} -algebra A is a \mathbb{k} -vector space with linear maps multiplication $m: A \otimes A \rightarrow A$ and unit $u: \mathbb{k} \rightarrow A$ such that these diagrams commute:

$$\begin{array}{ccc} A \otimes A \otimes A & \xrightarrow{m \circ \text{id}} & A \otimes A \\ \text{id} \circ m \downarrow & & \downarrow m \\ A \otimes A & \xrightarrow{m} & A \end{array}$$

$$\begin{array}{ccc} u \circ \text{id} \nearrow & A \otimes A & \nwarrow \text{id} \circ u \\ \mathbb{k} \otimes A & \downarrow m & A \otimes \mathbb{k} \\ & A & \end{array}$$

associative:
 $a(bc) = (ab)c$

unitary:
 $(a=) 1_{\mathbb{k}} a = u(1_{\mathbb{k}}) a$
 $(a=) a 1_{\mathbb{k}} = a u(1_{\mathbb{k}})$
(so $u(1_{\mathbb{k}}) = 1_A$)

⑧

We have three defs of \mathbb{k} -algebra.

1 \Rightarrow 2

2 \nRightarrow 1, but 2 \Leftrightarrow 1 if we identify $v(\mathbb{k}) = \mathbb{k}$

Why is 3 equivalent?

In 1, 2, A is a vector space and a ring

In 3, it seems to only be a vector space

3 \Rightarrow 2

If A is a \mathbb{k} -alg in the sense of 3, I have

$$m: A \otimes A \rightarrow A$$

which I can use to define a product on A

$$\cdot: A \times A \mapsto A$$

$$\text{by } a \cdot b = m(a \otimes b)$$

We also have $+$. Does this make A a ring?

$$\begin{aligned} \rightarrow a(bc) &= m(a \otimes (bc)) \\ &= m(a \otimes (b+c)) \\ &= m(a \otimes b) + m(a \otimes c) = ab + ac \end{aligned}$$

so \cdot is distributive.

\rightarrow Check other axioms similarly.

2 \Rightarrow 3

I have $\cdot: A \times A \rightarrow A$. Do I get $m: A \otimes A \rightarrow A$?

I do, since \cdot is bilinear and $v(\mathbb{k}) = \mathbb{k}$

Here we are using the characterization of

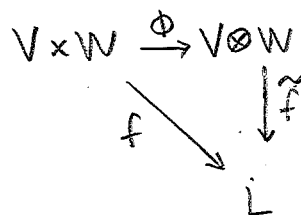
$V \otimes W$ by a universal property: V, W, L vector space

Thm

$\phi: V \times W \rightarrow V \otimes W$ is bilinear
 $(v, w) \mapsto v \otimes w$

If $f: V \times W \rightarrow L$ is bilinear, then

there is a unique linear map $\tilde{f}: V \otimes W \rightarrow L$ such that $f = \tilde{f} \circ \phi$



\rightarrow For fixed v , $\phi(v, w)$ linear in w
 \rightarrow For fixed w , $\phi(v, w)$ linear in v

So every bilinear map factors through ϕ ; i.e., ϕ is the most general bilinear map from $V \times W$.

Proof

ϕ is bilinear by the def of $V \otimes W$

Given f bilinear, define

$$\begin{aligned} \tilde{f}: F(V \times W) &\rightarrow L & F(V, W) &= \mathbb{k}\{(v, w); v \in V, w \in W\} \\ (v, w) &\mapsto f(v, w) \end{aligned}$$

Now, $V \otimes W = F(V \times W) / I$ and $\tilde{f}(I) = 0$

since f is bilinear, so this descends to the quotient

$$\tilde{f}: V \otimes W \rightarrow L$$

where $\tilde{f}(v \otimes w) = \tilde{f}(v, w) = f(v, w)$.

Now we review tensor products:

$$V \otimes W = F(V \times W) / I$$

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where

$$I = \langle (v+v', w) - (v, w) - (v', w), (v, w+w') - (v, w) - (v, w'), \\ (\lambda v, w) - \lambda(v, w), (v, \lambda w) - \lambda(v, w) \rangle$$

We write

$$v \otimes w = \overline{(v, w)} \text{ in } V \otimes W$$

• (keep in mind $v \otimes w$ a coset!)

• It is tricky to tell whether $v \otimes w = v' \otimes w'$

To define a (vector space/ \mathbb{K} -algebra) homomorphism

$$f: V \otimes W \rightarrow L$$

we can't define $f(v \otimes w)$ freely; we need to check, e.g., that $v \otimes w = v' \otimes w' \Rightarrow f(v \otimes w) = f(v' \otimes w')$

It is useful to just find

$$f: V \times W \rightarrow L$$

which is bilinear, and let it define $\tilde{f}: V \otimes W \rightarrow L$ by universality.

Ex 3 Do I have a "projection" $f: V \otimes W \rightarrow V$?

Look for a bilinear $f: V \times W \rightarrow V$

• $f(v, w) = v$ is not linear.

• $f(v, w) = v g(w)$ is linear if $g: W \rightarrow \mathbb{K}$ linear.

get $f: V \otimes W \rightarrow V$ linear.

if $g: W \rightarrow \mathbb{K}$ is a \mathbb{K} -alg homom, so is f .

(11)

Ex 1 If A is a \mathbb{K} -algebra

$$\mathbb{K} \otimes A \cong A \text{ as } \mathbb{K}\text{-algebras}$$

• I need a homom. from $\mathbb{K} \otimes A$ to A
So take

$$f: \mathbb{K} \times A \rightarrow A$$

$$(\lambda, a) \mapsto \lambda a$$

which is bilinear, and descends to $f: \mathbb{K} \otimes A \rightarrow A$

• Check f is bilinear & ring homomom: $f(a) f(b) \stackrel{?}{=} f(ab)$

• Inverse: $g: A \rightarrow \mathbb{K} \otimes A$

$$a \mapsto 1 \otimes a$$

Enough to check for pure tensors:

$$(\lambda a)(\lambda' a') = (\lambda \lambda')(aa')$$

Ex 2 $\mathbb{C} \otimes \mathbb{R}[x] \cong \mathbb{C}[x]$ as \mathbb{R} -algebras.

• Use $\mathbb{C} \times \mathbb{R}[x] \rightarrow \mathbb{C}[x]$, which is bilinear, to get

$$(\lambda, p(x)) \mapsto \lambda p(x)$$

Linear map $f: \mathbb{C} \otimes \mathbb{R}[x] \rightarrow \mathbb{C}[x]$. Check $f(ap) = f(a)f(p)$ also

• The inverse is not so clear. Use 1st from Thm. Need:

a) f is surjective:

Any λx^n ($\lambda \in \mathbb{C}, n \in \mathbb{N}$) is $f(\lambda, x^n)$.

By linearity, get all of $\mathbb{C}[x]$

b) f is injective:

$$\text{Sup } f\left(\sum_k \lambda_k \otimes p_k(x)\right) = 0 \quad \lambda_k = a_k + ib_k$$

$$\sum_k (a_k + ib_k) p_k(x) = 0$$

$$\sum_k a_k p_k(x) = 0 \quad \sum_k b_k p_k(x) = 0$$

$$\Rightarrow \sum_k (\lambda_k \otimes p_k(x)) = \sum_k (a_k + ib_k) \otimes p_k(x)$$

$$= 1 \otimes \sum_k a_k p_k(x) + i \otimes \sum_k b_k p_k(x) = 0$$

(12)

Useful Lemma

If $\{w_j\}_{j \in J}$ is a basis for W and
 $\sum_i v_i \otimes w_i = 0$ in $V \otimes W$
 then every $v_j = 0$

PF Using $\{w_j\}_{j \in J}$ as a basis for W , use
 the linear $\pi_j: V \otimes W \rightarrow W$ of Ex 3

with $\rho(w_i) = \begin{cases} 1 & i=j \\ 0 & \text{otherwise} \end{cases}$

$$\pi_j(v_i \otimes w_i) = \begin{cases} 0 & i \neq j \\ v_j & i=j \end{cases}$$

I have

$$0 = \pi_j(\sum_i v_i \otimes w_i) = v_j$$

for all j .

Note:
 π_j is a
 homom of
 vector spaces,
 but not of
 \mathbb{K} -algebras.

These examples should help you prove (in HW1)
 this key fact:

Prop If $\{v_i\}_{i \in I}, \{w_j\}_{j \in J}$ are bases for V, W ,
 then $\{v_i \otimes w_j\}_{\substack{i \in I \\ j \in J}}$ is a basis for $V \otimes W$.

Often people like to reason about (multi)linear
 algebras abstractly, without reference to a basis.
 For us, however, many of the Hopf algebras in
 combinatorics come equipped with natural bases.

(13)

Some (useful facts)/instructions exercises:

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- $U \otimes V \cong V \otimes U$
- $(U \otimes V) \otimes W \cong U \otimes (V \otimes W)$
- $(U \oplus V) \otimes W \cong (U \otimes W) \oplus (V \otimes W)$

at vec. sp.
 or \mathbb{K} -alg.

- To define $\left\{ \begin{array}{l} f: U \times V \rightarrow W \text{ bilinear} \\ \tilde{f}: U \otimes V \rightarrow W \text{ linear} \end{array} \right\}$ ← equivalent

We can choose bases $\{u_i\}_{i \in I}, \{v_j\}_{j \in J}$ of U, V ,

- define $f(u_i, v_j)$ arbitrarily (in W)
- extend bilinearly

$$f(u, v) = f\left(\sum_i \lambda_i u_i, \sum_j \mu_j v_j\right) \\ = \sum_{i,j} \lambda_i \mu_j f(u_i, v_j)$$

- (Extension of scalars)
 If A is a \mathbb{K} -algebra, and $\mathbb{K} \subset \mathbb{L}$,
 then $A \otimes_{\mathbb{K}} \mathbb{L}$ is an \mathbb{L} -algebra.

(When we have ≥ 2 fields, we better keep track
 of which one we tensor over. Thus the $\otimes_{\mathbb{K}}$)

Example: $\mathbb{R}[x]$ becomes a \mathbb{C} -algebra by $\otimes \mathbb{C}$;
 we saw $\mathbb{R}[x] \otimes_{\mathbb{R}} \mathbb{C} \cong \mathbb{C}[x]$ as \mathbb{R} -algebras,
 but also there is a natural action of \mathbb{C} .

- From $\left\{ \begin{array}{l} \text{vec. sp.} \\ \mathbb{K}\text{-alg.} \end{array} \right\}$ maps $f_i: A_i \rightarrow B_i$ ($i=1,2$), get \uparrow map $f \otimes g: A_1 \otimes A_2 \rightarrow B_1 \otimes B_2$ $\left\{ \begin{array}{l} \text{vec. sp.} \\ \mathbb{K}\text{-alg.} \end{array} \right\}$ (14)

Say A is graded if there is a decomposition

$$A = A_0 \oplus A_1 \oplus A_2 \oplus \dots$$

such that $A_i A_j \subseteq A_{i+j}$ for all i, j

$(\deg i)$ $(\deg j)$ $(\deg i+j)$ A_i : "homogeneous elts of degree i "

Ex. $A = K[x] = (K) \oplus (Kx) \oplus (Kx^2) \oplus \dots$

$A = K[x_1, \dots, x_n] = \bigoplus_{d=0}^{\infty} A_d$, where $\binom{n+d-1}{d} = (-1)^d \binom{-n}{d}$

$A_d = K\{x_1^{a_1} \dots x_n^{a_n} : a_1 + \dots + a_n = d, a_i \geq 0\}$

$A = T(V) = \bigoplus_{d=0}^{\infty} V^{\otimes d}$ tensor algebra

$A = S(V) = T(V) / \langle u \otimes v - v \otimes u : u, v \in V \rangle$ symmetric algebra

$A = \Lambda(V) = T(V) / \langle v \otimes v : v \in V \rangle$ exterior algebra

$A = K\{\text{perms of } [n]\}$, some $n \in \mathbb{N}$
 $= \bigoplus_{n=0}^{\infty} K S_n$ recall: product = shuffles
 \uparrow perms of $[n]$

General fact:

If A is graded, an ideal I is homogeneous

if it is generated by homogeneous elements.

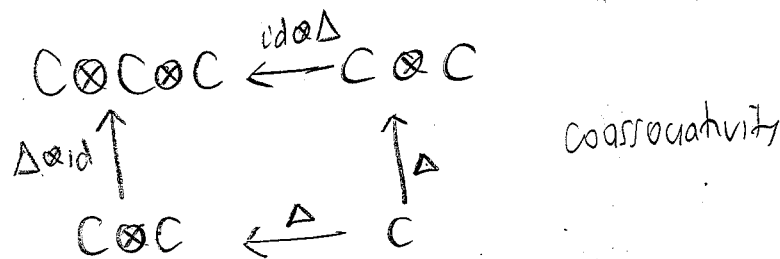
If so, A/I is graded.

(15) The Hilbert series of A is $Hilb(A; q) = \sum_{d=0}^{\infty} (\dim A_d) q^d$

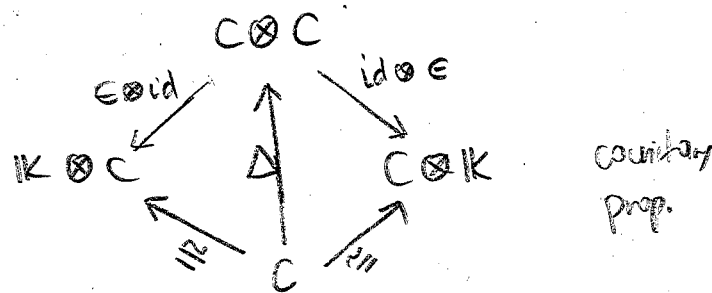
We will say much more about algebras, but let us now introduce

Coalgebras

A coalgebra is a K -vector space C with linear maps, $\Delta: C \rightarrow C \otimes C$, $\epsilon: C \rightarrow K$ such that (comultiplication) (counit)



and



commute

(Some diagrams as with algebras, but all arrows reversed)

Ex 1: $S = \text{set}$ $K[S] = \text{vec. sp. with } S \text{ as basis.}$
 $\Delta(s) = s \otimes s$, extend linearly (Check!)
 $\epsilon(s) = 1$, " " " "

(We did this for $S = \text{group}$, but we get a coalgebra for any set S) (16)

Ex 2: Let $P = \text{poset}$

Incidence Coalgebra

For $x \leq y$, the interval $[x, y] = \{z \in P : x \leq z \leq y\}$

$\text{Int}(P) := \{\text{intervals in } P\} = \{[x, y] : x \leq y \text{ in } P\}$

$$C = \mathbb{K} \text{Int}(P)$$

Define

$$\Delta([x, y]) = \sum_{z: x \leq z \leq y} [x, z] \otimes [z, y]$$

$$\epsilon([x, y]) = \begin{cases} 1 & x = y \\ 0 & x < y \end{cases}$$

and extend linearly.



Check coassociativity:

$$\bullet (\Delta \otimes \text{id}) \left(\sum_{z: x \leq z \leq y} [x, z] \otimes [z, y] \right) =$$

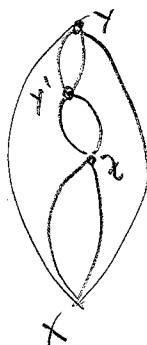
$$= \sum_{z: x \leq z \leq y} \left(\sum_{z': x \leq z' \leq z} [x, z'] \otimes [z', z] \right) \otimes [z, y]$$

$$= \sum_{x \leq z' \leq z \leq y} [x, z'] \otimes [z', z] \otimes [z, y]$$

$$\bullet (\text{id} \otimes \Delta) \left(\sum_{z: x \leq z \leq y} [x, z] \otimes [z, y] \right) =$$

$$= \sum_{x \leq z' \leq y \leq z} [x, z'] \otimes [z', y] \otimes [y, z]$$

Check counitary property.



(17)

You may think algebras are more natural than coalgebras, but they are more or less equivalent:

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Duality

If V is a \mathbb{K} -vector space, $V^* = \text{Hom}_{\mathbb{K}}(V, \mathbb{K})$ is the dual vector space

linear "functionals" from V to \mathbb{K}

($V \cong V^*$ but it is useful to remember which is which)

"vectors" "functionals"

It is called duality because $(V^*)^* = V$.

Fact.

If C is a coalgebra, C^* is naturally an algebra.

If A is a fin. dim. algebra, A^* is naturally a coalgebra.

To see this, note/recall

• I have $\langle, \rangle : V^* \times V \rightarrow \mathbb{K}$ bilinear.

$$\langle v^*, v \rangle := v^*(v)$$

• I have $\rho : V^* \otimes W^* \rightarrow (V \otimes W)^*$, where $\rho(v^* \otimes w^*)$ (for $v \in V, w \in W$) is given by

$$\langle \rho(v^* \otimes w^*), v \otimes w \rangle = \langle v^*, v \rangle \langle w^*, w \rangle$$

- This is always injective. (Prove it.)

- If V, W are fin. dim, this is bijective. (Ex.)

• If $L : V \rightarrow W$ is linear, we set $L^* : W^* \rightarrow V^*$.

$$\text{For } w^* \in W^*, L^* w^* \in V^* \text{ is } \langle L^* w^*, v \rangle = \langle w^*, Lv \rangle. \quad (18)$$

Let C be a coalgebra with
 comult $\Delta: C \rightarrow C \otimes C$
 counit $\varepsilon: C \rightarrow \mathbb{K}$

Let $A = C^*$ with
 mult $m: C^* \otimes C^* \rightarrow C^*$
 unit $u: \mathbb{K} \rightarrow C^*$

$\begin{array}{ccc} & & C^* \\ & \nearrow & \uparrow \Delta^* \\ C^* \otimes C^* & & \\ & \searrow & \downarrow \rho \\ & & (C \otimes C)^* \end{array}$

$\begin{array}{ccc} & & C^* \\ & \nearrow & \uparrow \varepsilon^* \\ \mathbb{K}^* & & \\ & \searrow & \downarrow \eta \\ & & \mathbb{K} \end{array}$

where we use $f: \mathbb{K}^* \xrightarrow{\cong} \mathbb{K}$
 $u \mapsto u(1)$

Prop (C^*, m, u) is an algebra

Pf Homework.

Similarly

Let A be an algebra with
 mult: $m: A \otimes A \rightarrow A$
 unit: $u: \mathbb{K} \rightarrow A$

If A is fin.-dim, a similar construction
 gives $\Delta: A^* \rightarrow A^* \otimes A^*$, $\varepsilon: A^* \rightarrow \mathbb{K}$ and

(9) $(A^*, \Delta, \varepsilon)$ is a coalgebra.

Example - (Very important in enumerative combinatorics)

Let $C(P) =$ incidence coalgebra of P

Dual: $A(P) =$ incidence algebra of P

Elts of A : Linear functionals $c^*: C \rightarrow \mathbb{K}$

Functions $\begin{array}{c} \updownarrow \\ C^*: \text{Int}(P) \rightarrow \mathbb{K} \end{array}$

Multiplication: $m: A \otimes A \rightarrow A$
 $\parallel \quad \parallel$
 $C^* \otimes C^* \quad C^*$

$C^* \otimes d^* \mapsto c^* d^*$

is given by

$$\begin{aligned} C^* d^*([x, y]) &= \langle m(C^* \otimes d^*), [x, y] \rangle \\ &= \langle \Delta^*(C^* \otimes d^*), [x, y] \rangle \\ &= \langle \rho(C^* \otimes d^*), \Delta([x, y]) \rangle \\ &= \langle \rho(C^* \otimes d^*), \sum_{x \leq z \leq y} [x, z] \otimes [z, y] \rangle \end{aligned}$$

$$C^* d^*([x, y]) = \sum_{x \leq z \leq y} C^*[x, z] d^*[z, y]$$

Convolution

Unit: $u(1) \in C^*$ $\langle u(1), [x, y] \rangle = \langle \varepsilon^* 1^*, [x, y] \rangle = \langle 1^*, \varepsilon([x, y]) \rangle$

$$\int [x, y] = \begin{cases} 1 & x=y \\ 0 & x < y \end{cases}$$

$$= \int_0^1 \begin{matrix} x=y \\ x < y \end{matrix} \quad (20)$$

Sweedler notation for coalgebras

In a coalgebra C we have

$$\Delta: C \rightarrow C \otimes C$$

$$\Delta(c) = \sum_{i=1}^n c_{1i} \otimes c_{2i} \quad (c, c_{1i}, c_{2i} \in C)$$

We write

$$\Delta(c) = \sum_{(c)} c_{(1)} \otimes c_{(2)}$$

who did you
take the
coproduct of?

You may not like this at first; it's like writing $\sum_{i=1}^n a_i$ as $\sum a$. In fact, some people even write $\Delta(c) = c_{(1)} \otimes c_{(2)}$, which is like writing $\sum_{i=1}^n a_i$ as a .

But this is very useful notation once you get used to it!

Similarly, in $C \otimes C \otimes C \leftarrow C \otimes C$
 $\uparrow \quad \uparrow$
 $C \otimes C \leftarrow C$, write

$$\Delta_2(c) = \sum_{(c)} c_{(1)} \otimes c_{(2)} \otimes c_{(3)}$$

for

$$(\Delta \otimes I) \Delta(c) = (I \otimes \Delta) \Delta(c)$$

and more generally

$$\Delta_n(c) = \sum_{(c)} c_{(1)} \otimes \dots \otimes c_{(n)}$$

Also, any multilinear $f: C \times C \times \dots \times C \rightarrow V$

gives a linear $\bar{f}: C \otimes C \otimes \dots \otimes C \rightarrow V$

and we write

$$\bar{f} \Delta_{n-1}(c) = \sum_C f(c_{(1)}, c_{(2)}, \dots, c_{(n)})$$

Ex

$$\sum_{(c)} \Delta(c_{(1)}) \otimes c_{(2)} \quad (\text{comes from } f(c, d) = \Delta(c) \otimes d = (\Delta \otimes I)(c \otimes d))$$

$$= \sum_{(c)} (\Delta \otimes I)(c_{(1)} \otimes c_{(2)}) = (\Delta \otimes I) \Delta(c) = \Delta_2(c)$$

So we can write coassociativity in Sweedler notation as

$$\sum_{(c)} \Delta(c_{(1)}) \otimes c_{(2)} = \sum_{(c)} c_{(1)} \otimes \Delta(c_{(2)})$$

Ex

The counitary property is (check it)

$$\sum_{(c)} \epsilon(c_{(1)}) \otimes c_{(2)} = \sum_{(c)} c_{(1)} \otimes \epsilon(c_{(2)}) = c$$

Ex Prove

$$\sum_{(c)} c_{(1)} \otimes \epsilon(c_{(2)}) \otimes c_{(3)} = \sum_{(c)} c_{(1)} \otimes c_{(2)} \rightsquigarrow \textcircled{22}$$

$$\begin{array}{c} C \otimes C \otimes C \leftarrow C \otimes C \leftarrow C \otimes C \\ \uparrow \quad \uparrow \quad \uparrow \\ C \otimes C \leftarrow C \end{array}$$

Homomorphisms

Lect 7
2.13.12

Homomorphisms of algebras: (revisited)

A, B \mathbb{K} -algebras

$f: A \rightarrow B$ linear

Claim:

f is a \mathbb{K} -algebra homomorphism



$$A \otimes A \xrightarrow{f \otimes f} B \otimes B$$

$$A \xrightarrow{f} B$$

$$\begin{array}{ccc} m_A \downarrow & & \downarrow m_B \\ A & \xrightarrow{f} & B \end{array}$$

and

$$\begin{array}{ccc} & \uparrow \Delta_A & \uparrow \Delta_B \\ & \mathbb{K} & \end{array} \quad \text{commute}$$

Pf: f is a homom. of vector spaces. Ring structure:

Left diagram says

Right diagram says

$$f(a_1 a_2) = f(a_1) f(a_2)$$

$$f(1_A) = f(1_B)$$

for all $a_1, a_2 \in A$

B \mathbb{K} -algebra

$A \subseteq B$ subpace

A is a subalgebra if $m(A \otimes A) \subseteq A, 1_B \in A$

A is an ideal if $m(A \otimes B) \subseteq A$
 $m(B \otimes A) \subseteq A$

(two-sided)

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Homomorphisms of coalgebras:

C, D \mathbb{K} -coalgebras

Def.

A linear $g: C \rightarrow D$ is a \mathbb{K} -coalgebra homom. if

$$C \otimes C \xrightarrow{g \otimes g} D \otimes D$$

$$C \xrightarrow{g} D$$

$$\begin{array}{ccc} A \downarrow & & \downarrow \Delta_D \\ C & \xrightarrow{g} & D \end{array}$$

and

$$\begin{array}{ccc} \epsilon_C \downarrow & & \downarrow \epsilon_D \\ & \mathbb{K} & \end{array} \quad \text{commute}$$

In Sweedler notation,

$$\bullet \sum_{(c)} g(c_{(1)}) \otimes g(c_{(2)}) = \sum_{(g(c))} (g(c))_{(1)} \otimes (g(c))_{(2)}$$

and

$$\bullet \epsilon_C(c) = \epsilon_D(g(c))$$

D \mathbb{K} -coalgebra

$C \subseteq D$ subpace

C is a subcoalgebra if $\Delta(C) \subseteq C \otimes C$

C is a coident if $\Delta(C) \subseteq C \otimes D + D \otimes C$

(two-sided)

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Duality of homomorphisms:

Prop If $f: C \rightarrow D$ is a coalgebra map then $f^*: D^* \rightarrow C^*$ is an algebra map.

Pf We check $f^*(d^*e^*) = f^*(d^*)f^*(e^*)$ in C^* .

$$\begin{aligned} \langle f^*(d^*e^*), c \rangle &= \langle d^*e^*, f(c) \rangle \\ &= \langle m(d^* \otimes e^*), f(c) \rangle \quad \begin{array}{l} \text{inclusion} \\ m = \mu \Delta^* = \Delta^* \end{array} \\ &= \langle d^* \otimes e^*, \Delta f(c) \rangle \\ &= \langle d^* \otimes e^*, (f \otimes f) \Delta(c) \rangle \quad \downarrow f \text{ homom} \end{aligned}$$

$$= \langle d^* \otimes e^*, (f \otimes f) \sum_{(c)} c_{(1)} \otimes c_{(2)} \rangle$$

$$= \sum_{(c)} \langle d^*, f(c_{(1)}) \rangle \langle e^*, f(c_{(2)}) \rangle$$

$$= \sum_{(c)} \langle f^*(d^*), c_{(1)} \rangle \langle f^*(e^*), c_{(2)} \rangle$$

$$\langle f^*(d^*)f^*(e^*), c \rangle = \langle m(f^*(d^*) \otimes f^*(e^*)), c \rangle$$

$$= \langle f^*(d^*) \otimes f^*(e^*), \Delta c \rangle$$

$$= \langle f^*(d^*) \otimes f^*(e^*), \sum_{(c)} c_{(1)} \otimes c_{(2)} \rangle$$

$$= \sum_{(c)} \langle f^*(d^*), c_{(1)} \rangle \langle f^*(e^*), c_{(2)} \rangle$$

(25) Also need $f^*(1_{D^*}) = 1_{C^*}$ (Exercise)

Similarly,

Prop If $f: A \rightarrow B$ is a map of fin. dim. algebras, then $f^*: B^* \rightarrow A^*$ is a map of coalgebras.

V vector space

V^* dual

Given $S \subseteq V$, let $S^\perp := \{v^* \in V^* : \langle v^*, s \rangle = 0\} \subseteq V^*$

Given $T^* \subseteq V^*$, let $(T^*)^\perp = \{v \in V : \langle T^*, v \rangle = 0\} \subseteq V$.

Review: Dual subspace

subspace

subspace

Prop Let C be a coalgebra
 C^* the dual algebra.
Let $D \subseteq C$ be a subspace.

D is a coalgebra of C

\Downarrow

D^\perp is an ideal of C^*

Recall:

$$(S^\perp)^\perp = S$$

$$(T^*)^\perp = T^*$$

Pf: \Downarrow . The inclusion $i: D \hookrightarrow C$ gives an algebra map $i^*: C^* \rightarrow D^*$.

$$c^* \in \text{Ker}(i^*) \Leftrightarrow c^*(d) = 0 \text{ for all } d \in D$$

$$\Leftrightarrow c^* \in D^\perp$$

So $D^\perp = \text{Ker}(i^*)$ is an ideal.

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↑: Let D^\perp be an ideal of C^* , and $x \in D$.

We need $\Delta(x) \in D \otimes D$.

$$\text{Let } \Delta(x) = \sum_i y_i \otimes z_i$$

Note: We can assume $\{z_i\}$ is lin. indep.;

if $z_j = \sum_k \alpha_k z_k$, replace $y_j \otimes z_j$

by $\sum_k \alpha_k y_j \otimes z_k$ and absorb it into the other tensors.

Claim: $y_j \in D$ for any j .

Assume $y_j \notin D$.

Then find $d^* \in D^\perp$ so $\langle y_j, d^* \rangle \neq 0$

Also find $z^* \in C^*$ so $\langle z_j, z^* \rangle = 1$

$$\frac{d^* z^* \in D^\perp}{\text{since } D^\perp \text{ is ideal}} \quad \langle z_i, z^* \rangle = 0 \quad (i \neq j)$$

Then

$$\begin{aligned} 0 &= \langle x, d^* z^* \rangle = \langle x, m(d^* \otimes z^*) \rangle \\ &= \langle \Delta(x), d^* \otimes z^* \rangle = \sum_i \langle y_i, d^* \rangle \langle z_i, z^* \rangle \\ &= \langle y_j, d^* \rangle \neq 0 \quad \checkmark \end{aligned}$$

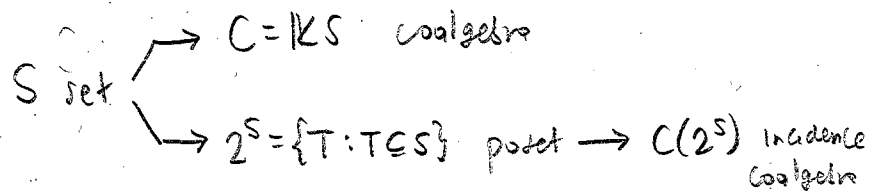
Therefore $\Delta(x) \in D \otimes C$.

Similarly $\Delta(x) \in C \otimes D$

$$\text{But } (D \otimes C) \cap (C \otimes D) = D \otimes D. \quad \blacksquare$$

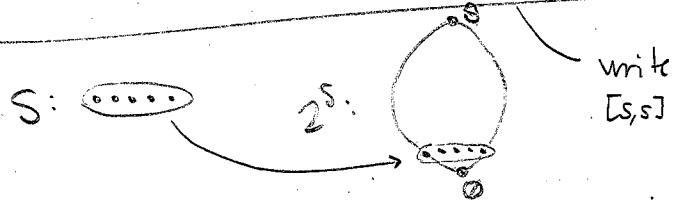
↑
Exercise.

An example of a coalgebra homomorphism:



$$\text{Let } f: \mathbb{K}S \rightarrow C(2^S)$$

$$s \mapsto [\{s\}, \{s\}] \quad \text{for } s \in S$$



Coassoc:

$$\begin{array}{ccc} \mathbb{K}S \otimes \mathbb{K}S & \xrightarrow{f \otimes f} & C(2^S) \otimes C(2^S) \\ \Delta \uparrow & ? & \uparrow \Delta \\ \mathbb{K}S & \xrightarrow{f} & C(2^S) \end{array}$$

$$\begin{aligned} &\text{In } C(2^S), \\ &\Delta([T, T]) \\ &= \sum_{T \cup T} [T, U] \otimes [U, T] \\ &= [T, T] \otimes [T, T] \\ &\text{for all } T \subseteq S \end{aligned}$$

Indeed:

$$\begin{array}{ccc} s \otimes s & \mapsto & [s, s] \otimes [s, s] \\ \uparrow & & \uparrow \\ s & \mapsto & [s, s] \end{array}$$

Coait:

$$\begin{array}{ccc} \mathbb{K}S & \xrightarrow{f} & C(2^S) \\ \downarrow ? & & \downarrow \\ \mathbb{K} & & \mathbb{K} \end{array}$$

Indeed:

$$\begin{array}{ccc} s & \mapsto & [s, s] \\ \downarrow & & \downarrow \\ 1 & & 1 \end{array}$$

Dually,

Prop Let C be a coalgebra
 C^* the dual algebra
 $V \subset C$ be a subspace
 Then $V \subset C$ is a coideal
 \Downarrow
 $V^\perp \subset C^*$ is a subalgebra

Our next goal: Fundamental ("First") Isomorphism Theorem for coalgebras.

First:

Lemma: Let $f: V \rightarrow V'$, $g: W \rightarrow W'$ be linear. Then we get $f \otimes g: V \otimes W \rightarrow V' \otimes W'$, and

(a) $\text{Im}(f \otimes g) = \text{Im} f \otimes \text{Im} g$
 (b) $\text{Ker}(f \otimes g) = \text{Ker} f \otimes W + V \otimes \text{Ker} g$

(a) is straightforward

(b) $\text{Ker}(f \otimes g)$ A generator of the right side is $a \otimes w + v \otimes b$

$$f(a) = 0 \quad g(b) = 0$$

and

$$(f \otimes g)(a \otimes w + v \otimes b) = 0 \otimes g(w) + f(v) \otimes 0 = 0$$

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Leet 8
2.15.12

$\text{Ker}(f \otimes g) = U$ Let $U = \text{Ker}(f \otimes g)$

Then $f \otimes g: V \otimes W \rightarrow V' \otimes W'$ descends to $\overline{f \otimes g}: (V \otimes W)/U \rightarrow \text{Im} f \otimes \text{Im} g \subset V' \otimes W'$

To show this is an isom, we build an inverse.

Let $\phi: \text{Im} f \otimes \text{Im} g \rightarrow (V \otimes W)/U$ (l.i.s. inv.)

$$f(v) \otimes g(w) \mapsto v \otimes w + U$$

Well-defined:

If $f(v_1) \otimes g(w_1) = f(v_2) \otimes g(w_2)$ then $f(v_1) = f(v_2)$ and $g(w_1) = g(w_2)$, so

$v_1 - v_2 \in \text{Ker} f$, $w_1 - w_2 \in \text{Ker} g$, and

$$v_1 \otimes w_1 - v_2 \otimes w_2 = v_1 \otimes (w_1 - w_2) + (v_1 - v_2) \otimes w_2 \in V \otimes \text{Ker} g + \text{Ker} f \otimes W$$

Really defined:

$$\text{Im} f \otimes \text{Im} g \rightarrow (V \otimes W)/U$$

$$(f(v), g(w)) \mapsto v \otimes w + U \text{ is bilinear}$$

Now, $\overline{f \otimes g}$ and ϕ are clearly inverses on the generators, so they are inverses.

We conclude $\overline{f \otimes g}$ is injective, so

$$\text{Ker}(f \otimes g) = U. \text{ (if } \alpha \in \text{Ker}(f \otimes g), \alpha + U \in \text{Ker}(\overline{f \otimes g}) = 0 + U)$$

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With that,

Let $f: C \rightarrow D$ be a coalgebra map

Prop $\text{Ker } f$ is a coideal of C

Pf If $c \in \text{Ker } f$ then $f(c) = 0$ gives

$$0 = \Delta f(c) = (f \otimes f) \Delta(c)$$

Since f is a coalgebra map.

But then

$$\begin{aligned} \Delta(c) &\in \text{Ker}(f \otimes f) \\ &= \text{Ker } f \otimes C + C \otimes \text{Ker } f \end{aligned} \quad \square$$

Prop $\text{Im } f$ is a subcoalgebra of D

Pf Let $f(c) \in \text{Im } f$.

$$\text{Then } \Delta f(c) = (f \otimes f) \Delta(c)$$

$$= \sum_{(c)} f(c_{(1)}) \otimes f(c_{(2)})$$

$$\in \text{Im } f \otimes \text{Im } f \quad \square$$

Prop If I is a coideal of C ,
then C/I inherits the
coalgebra structure from C

← quotient
coalgebra

Pf Need $C/I \rightarrow C/I \otimes C/I$

Have:

$$\begin{array}{ccc} C & \xrightarrow{\Delta} & C \otimes C \\ \downarrow & & \downarrow \pi \otimes \pi \\ C/I & \xrightarrow{\quad ? \quad} & C/I \otimes C/I \end{array}$$

The map $(\pi \otimes \pi) \circ \Delta: C \rightarrow C/I \otimes C/I$

descends to C/I iff it sends I to 0.

But it does: for $i \in I$

$$\begin{aligned} (\pi \otimes \pi) \Delta(i) &= 0 \\ &\in I \otimes C + C \otimes I \end{aligned} \quad \square$$

Fundamental Theorem of Coalgebras

If $f: C \rightarrow D$ is a map of coalgebras,
then $\text{Im } f \cong C/\text{Ker } f$ as coalgebras

Pf Straightforward. \square

Other constructions:

If C and D are coalgebras,

• The tensor product coalgebra has coproduct

$$C \otimes D \xrightarrow{\Delta_C \otimes \Delta_D} C \otimes C \otimes D \otimes D \xrightarrow{I \otimes T \otimes I} (C \otimes D) \otimes (C \otimes D)$$

$$(T(c \otimes d) = d \otimes c)$$

and counit

$$C \otimes D \xrightarrow{\epsilon_C \otimes \epsilon_D} \mathbb{K} \otimes \mathbb{K} \rightarrow \mathbb{K}$$

• The direct sum coalgebra has coproduct

$$C \oplus D \xrightarrow{\Delta_C \Delta_D} (C \otimes C) \oplus (D \otimes D) \hookrightarrow (C \oplus D) \otimes (C \oplus D)$$

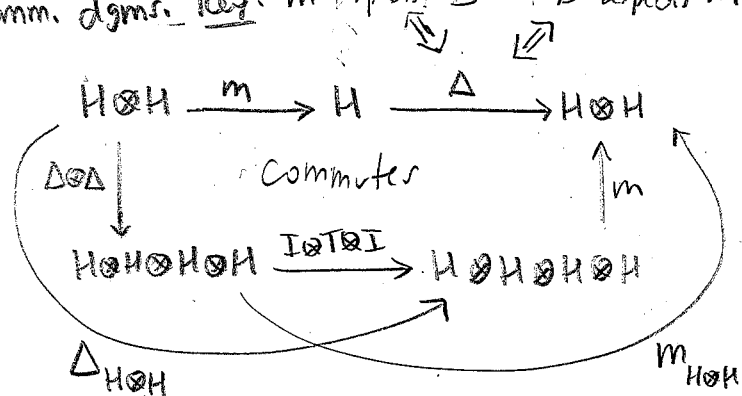
Bialgebras

Suppose (H, m, u) is an algebra
 (H, Δ, ϵ) is a coalgebra.

Def/Thm We say $(H, m, u, \Delta, \epsilon)$ is a bialgebra if the following equivalent conditions hold:

- ① m and u are coalgebra maps
- ② Δ and ϵ are algebra maps
- ③ • $\Delta(1) = 1 \otimes 1$
 • $\Delta(gh) = \sum_{(g)(h)} g_{(1)} h_{(1)} \otimes g_{(2)} h_{(2)}$
 • $\epsilon(1) = 1$ and
 • $\epsilon(gh) = \epsilon(g)\epsilon(h)$

② \Leftrightarrow ③ is clear. Each of ① and ② gives 4 comm. dgms. Key: m respects Δ ; Δ respects m



Examples of bialgebras

1. Group ring

$$H = \mathbb{K}G \quad G \text{ group}$$

$$\begin{array}{ccc} g \otimes h & \xrightarrow{m} & gh & \xrightarrow{\Delta} & gh \otimes gh \\ \Delta \otimes \Delta \downarrow & & & & \uparrow m \circ m \\ g \otimes g \otimes h \otimes h & \xrightarrow{I \otimes T \otimes I} & & & g \otimes h \otimes g \otimes h \end{array}$$

Check three other diagrams.

2. Polynomial ring

$$H = \mathbb{K}[x]$$

mult, unit: usual

$$\text{counit: } \epsilon(x^n) = \begin{cases} 1 & n=0 \\ 0 & n \geq 1 \end{cases}$$

extend

$$\text{comult: } \Delta(x) = x \otimes 1 + 1 \otimes x, \text{ multiplicatively}$$

$$\Rightarrow \Delta(x^n) = \sum_{k=0}^n \binom{n}{k} x^k \otimes x^{n-k}$$

$$x^a \otimes x^b \xrightarrow{m} x^{a+b} \xrightarrow{\Delta} \sum_{k=0}^{a+b} \binom{a+b}{k} x^k \otimes x^{a+b-k}$$

$$\left(\sum_{i=0}^a \binom{a}{i} x^i \otimes x^{a-i} \right) \left(\sum_{j=0}^b \binom{b}{j} x^j \otimes x^{b-j} \right) \rightarrow \sum \binom{a}{i} \binom{b}{j} x^i \otimes x^j \otimes x^{a-i} \otimes x^{b-j}$$

$$\text{So } \binom{a+b}{k} = \sum_{i+j=k} \binom{a}{i} \binom{b}{j}$$

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3. Potets

Lect 9
2.21.12

Let $\mathcal{I} = \mathbb{K}\{\text{isomorphism classes of potets with } \hat{\delta} \text{ and } \hat{\alpha}\}$

Coalgebra:

$$\Delta(P) = \sum_{P \in P} [\hat{\delta}, P] \otimes [P, \hat{\alpha}]$$

$$\epsilon(P) = \begin{cases} 1 & \text{if } \bar{P} = \bullet \\ 0 & \text{otherwise} \end{cases}$$

Notation abuse:

\bar{P} = isom. class of P
Write P for \bar{P} .

Algebra:

$$m(P \otimes Q) = P \times Q =: "P \cdot Q"$$

$$u(1) = \bullet$$

Potet product:

$$P \times Q = \{(p, q) : p \in P, q \in Q\}$$

$$(p, q) \leq (p', q') \Leftrightarrow p \leq p', q \leq q'$$

$$\text{Ex: } \Delta(\diamond) = (\bullet \otimes \diamond) + 2(1 \otimes 1) + (\diamond \otimes 1) + (\diamond \otimes \bullet)$$

$$m(\diamond \otimes \diamond) = \text{3D grid diagram}$$

Bialgebra:

$$\Delta(P \times Q) = \sum_{(p, q) \in P \times Q} [(0, 0), (p, q)] \otimes [(p, q), (1, 1)]$$

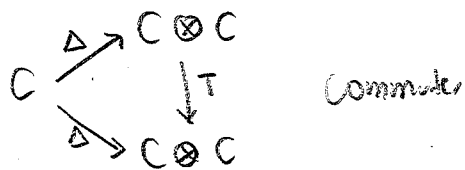
$$= \sum_{P \in P, Q \in Q} ([0, P] \times [0, Q]) \otimes ([P, 1] \times [Q, 1])$$

$$= \sum_{P \in P, Q \in Q} ([0, P] \otimes [P, 1]) \times ([0, Q] \otimes [Q, 1]) = \Delta(P) \times \Delta(Q)$$

$$\epsilon(P \times Q) = \epsilon(P) \epsilon(Q) \quad (\text{Check})$$

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Def. A coalgebra C is cocommutative if



In Sweedler notation,

$$\Delta(c) = \sum_{(c)} c_{(1)} \otimes c_{(2)} = \sum_{(c)} c_{(2)} \otimes c_{(1)} \quad (*)$$

An element satisfying (*) is a cocommutative elt.

Note: If C is spanned by cocomm. elts, it is cocomm.

	cocomm.	cocomm.
Ex 1	no	yes
Ex 2	yes	yes
Ex 3	yes	no
HW 2.1	no	no

Ex 4 (gen. of Ex 1)

Let G be a monoid:

(a set with a binary operation which has a 1 and is associative)

(Think: "group without inverses")

Example: $G = 2^S$, $A \cdot B = A \cap B$ for $A, B \subseteq S$

Then the monoid algebra $\mathbb{K}G$ with

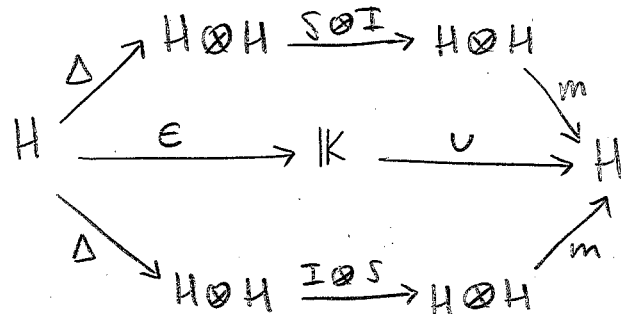
$$m(g, h) = g \cdot h \quad u(1) = 1_G \quad \Delta(g) = g \otimes g \quad \epsilon(g) = 1$$

is a bialgebra

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Hopf algebras

Def. A Hopf algebra is a bialgebra H with a linear map $S: H \rightarrow H$, called the antipode, such that this diagram commutes:



In Sweedler notation, S should satisfy

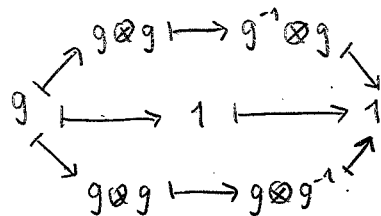
$$\sum_{(h)} h_{(1)} S(h_{(2)}) = \epsilon(h) 1 = \sum_{(h)} S(h_{(1)}) h_{(2)}$$

Idea: S is some kind of analog to an inverse.

Ex 1. $H = \mathbb{K}G$ group ring

We saw it is a bialgebra.

Claim: $S(g) = g^{-1}$ makes it a Hopf algebra:



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