

Remark

$$\text{Since } \prod_{k \geq 1} \frac{1}{1-x^k} = \sum_{n \geq 0} p(n)x^n$$

$$\prod_{k \geq 1} (1-x^k) = 1-x-x^2+x^5+x^7-x^{12}-x^{15}+\dots$$

when we multiply them and compare coeffs of x^n we get

$$0 = p(n) - p(n-1) - p(n-2) + p(n-5) + p(n-7) - p(n-12) \dots$$

This recurrence is the best way to date to compute

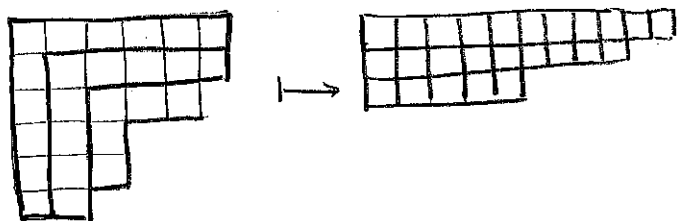
$p(1), p(2), \dots$. There are other ways of computing

$$p(n) \text{ only. Also } p(n) \sim e^{\frac{\pi \sqrt{2n/3}}{4\sqrt{3}}}$$

$$\text{(Compare with } n! \sim \left(\frac{n}{e}\right)^n \sqrt{2\pi n})$$

Prop The # of self-conjugate partitions of n equals the # of partitions of n into odd parts

PF



$$6+6+5+3+3+2 \mapsto 11+9+5$$

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Formal Power Series

Lecture 10
10.01.13

Now that we've played enough with formal power series to know what we might need to worry about, let's discuss why we don't need to worry.

Let $R = \text{comm. ring}$. (For us usually $R = \mathbb{R}$ or \mathbb{C})

A formal power series is a sequence

$$(a_0, a_1, a_2, \dots) \text{ which we write } "a_0 + a_1x + a_2x^2 + \dots = A(x)"$$

$(a_i \in R)$ Write $a_n = [x^n]A(x)$

The ring of formal power series $R[[x]]$ has ops

$$+ : (a_n)_{n \in \mathbb{N}} + (b_n)_{n \in \mathbb{N}} = (a_n + b_n)_{n \in \mathbb{N}}$$

$$\cdot : (a_n)_{n \in \mathbb{N}} \cdot (b_n)_{n \in \mathbb{N}} = (a_0b_n + a_1b_{n-1} + \dots + a_nb_0)_{n \in \mathbb{N}}$$

(consistent with our power series notation)

We have $0 = (0, 0, \dots)$, $1 = (1, 0, 0, \dots)$

Easy: assoc. of $+$, of \cdot

comm. of $+$, of \cdot

distrib. of $+$, \cdot

See: EC1, Sec 1.1

Ingn Nilen "Formal Power Series" (Amer Math Monthly) (44)

There is a distinction between formal and analytic power series, but:

Principle: Any identity of power series which

- holds analytically for small enough $|x|$
- makes sense for formal power series

also holds in the ring of formal power series.

This is clearer through some examples.

Ex. 1. $\sum_{n \geq 0} r^n x^n = \frac{1}{1-rx}$

Here this means $(\sum_{n \geq 0} r^n x^n)(1-rx) = 1$ as

formal power series. But

$$[x^n] \text{LHS} = \begin{cases} r^n + r^{n-1}(-r) = 0 & n \geq 1 \\ 1 & n = 0 \end{cases} \quad \checkmark$$

Ex. 2 $\sum_{n \geq 0} \frac{x^n}{n!} \sum_{n \geq 0} \frac{(-x)^n}{n!} = 1$

Alg. This makes sense in $\mathbb{R}[[x]]$; it says

$$\sum_{k=0}^n \frac{1}{k!} \frac{(-1)^{n-k}}{(n-k)!} = \begin{cases} 0 & n \geq 1 \\ 1 & n = 0 \end{cases}$$

This follows from $(1-1)^n = \begin{cases} 1 & n \geq 1 \\ 0 & n = 0 \end{cases}$

An. We can also invoke analysis; this says

$$e^x e^{-x} = 1$$

which is true for all $x \in \mathbb{C}$. Then just use:

Thm: If two power series represent the same function in a neighborhood of 0, their coeffs are equal.

Ex. 3 (A non-example)

The analytic identity $e^{x^2} = e \cdot e^x$ does not give an identity in $\mathbb{R}[[x]]$, because

$$\sum_{n \geq 0} \frac{(x^2)^n}{n!} \text{ is not a formal power series.}$$

The coeff. of x^N has infinitely many contributions!

To make sense of (some) infinite sums, need to define convergence in $\mathbb{R}[[x]]$.

Say $F_1(x), F_2(x), \dots \rightarrow F(x)$

if for any n , there exists N s.t. that

$$[x^n] F_N(x) = [x^n] F_{N+1}(x) = \dots = [x^n] F(x).$$

Let $\deg F(x) = \min n \text{ s.t. } [x^n] F(x) \neq 0$

Prop $\sum_{j=0}^{\infty} A_j(x)$ converges $\Leftrightarrow \lim_{j \rightarrow \infty} \deg A_j(x) = \infty$

So: $\sum_{n \geq 0} \frac{(x^2)^n}{n!}$ doesn't, $\sum_{n \geq 0} \frac{[x^n] A(x)^n}{n!}$ does.

So: If $F(x), G(x) \in \mathbb{R}[[x]]$, we can define

$$F(G(x)) = \sum_{n \geq 0} f_n \left(\sum_{m \geq 0} g_m x^m \right)^n \quad \text{iff } g_0 = G(0) = 0.$$

Prop. $\prod_{j \geq 0} (1 + A_j(x))$ converges $\Leftrightarrow \lim_{j \rightarrow \infty} \deg A_j(x) = \infty$
($A_j(0) = 0$)

Ex 4 We showed

$$\sum_{n \geq 0} P_{\leq k}(n) X^n = \prod_{i=1}^k \frac{1}{1-x^i} \quad (1)$$

and concluded

$$\sum_{n \geq 0} P(n) X^n = \prod_{i=1}^{\infty} \frac{1}{1-x^i} = \prod_{i=1}^{\infty} (1 + \underbrace{x^i + x^{2i} + \dots}_{\deg=i}) \quad (2)$$

The RHS is defined and what we are doing is taking the limit of (1) as $k \rightarrow \infty$; the coeffs stabilize to those of (2).

Prop $\mathbb{R}[[x]]$ is an integral domain if \mathbb{R} is an integral domain. ($ab=0 \Rightarrow a=0 \text{ or } b=0$)

PF If $A(x)B(x) = 0$ where $A(x) = \overset{\downarrow \text{to}}{a_m} x^m + \dots$
 $B(x) = \underset{\uparrow \text{to}}{b_n} x^n + \dots$

then $A(x)B(x) = \overset{\uparrow \text{to}}{a_m b_n} x^{m+n} + \dots$

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Ex 5 The Catalan GF satisfied

$$xC(x)^2 = C(x) - 1$$

We did

$$4x^2 C(x)^2 - 4xC(x) + 1 = 1 - 4x$$

$$[1 - 2xC(x)]^2 = 1 - 4x$$

Now:

$$(1+x)^r := \sum_{n \geq 0} \binom{r}{n} x^n$$

satisfies

$$[(1-4x)^{1/2}]^2 = 1 - 4x$$

since it satisfies it for $|x| < 1/4$. Then

$$[1 - 2xC(x)]^2 = [(1-4x)^{1/2}]^2$$

Now, $A^2 = B^2 \Rightarrow (A-B)(A+B) = 0 \Rightarrow A = \pm B$.

Since they both have $[x^0] = 1$,

$$1 - 2xC(x) = (1-4x)^{1/2}$$

"Calculus": Define $\left(\sum_{n \geq 0} f_n x^n \right)' = \sum_{n \geq 0} (n+1) f_{n+1} x^n$

We have $(FG)' = F'G + FG'$

$$(F(G(x)))' = F'(G(x))G'(x) \quad (G(0) = 0)$$

So, e.g. if $G'(x) = F'(x)/F(x)$ $G(0) = 0$ $F(0) = 1$

$$G'(x) = [\log F(x)]'$$

$$G(x) = \log F(x) \rightarrow F(x) = e^{G(x)}$$

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