

5(a) To begin, we first establish a lemma that for any lattice L whose set of atoms is A , and any $x \in L$, we have that $\mu_L(x)$ is equal to the number $\mu'(x)$ defined by

$$\mu'(x) = \sum_{\substack{S \subseteq A \\ \bigvee S = x}} (-1)^{|S|}, \quad (3)$$

where $\bigvee S$ denotes the join of all atoms of S . To check this we must verify that the sums defining μ_L hold of it. First, we have $\mu'(\hat{0}) = 1$ since $\hat{0}$ is a join of a set of atoms in only one way, namely as the join of the empty set. Otherwise, let $x \geq \hat{0}$ and let B be the set of atoms a with $a \leq x$. Then, for a set S of atoms, we have $\bigvee S \leq x$ iff $S \subseteq B$; ‘if’ is true by the definition of the join, while ‘only if’ is true because if $a \not\leq x$ then no join involving a can be $\leq x$, by transitivity. Therefore we have

$$\sum_{x' \leq x} \mu'(x') = \sum_{S \subseteq B} (-1)^{|S|} = 0$$

because B is nonempty. This proves the lemma.

Now, we’ll prove that not $\chi_M(q)$ but $q\chi_M(q)$ is the number of q -colorings of G . We count the q -colorings of G using the inclusion-exclusion¹ principle on the edges, which are the atoms of L_M . For any set S of edges of G , let $n(S)$ be the number of colorings of G such that each edge of S receives the same color at both ends. Then, by the inclusion-exclusion principle, the number n of colorings of G with q colors and no edge colored similarly at each end is

$$n = \sum_{S \subseteq E(G)} (-1)^{|S|} n(S).$$

¹Some, perhaps all, of my uses of inclusion-exclusion should be thought of as *really* uses of Möbius inversion on lattices other than $2^{[n]}$. They’re the same thing anyway, by my lemma.

Now, for some set of edges S , a coloring C of G has the property that each edge in S has the same color at each end iff each connected component of the subgraph H of G consisting of edges in S receives a single color; so $n(S)$ is q to the power of the number of components of H (including components with just one vertex and no edges). But the number of such components is precisely $|V(G)| - r(S) = r(M) + 1 - r(S)$, as we can see by a simple induction: the empty subgraph of G has $|V(G)|$ components, and adding each edge in some spanning forest of H removes one component. Therefore, by our lemma,

$$n = \sum_{S \subseteq E(G)} (-1)^S q^{r(M)+1-r(S)} = \sum_{x \in L_M} q^{r(M)+1-r(x)} = q\chi_M(q).$$

(b) We begin by again using (3), by which

$$\begin{aligned} \chi_M(-1) &= \sum_{x \in L(M)} \mu_M(x) (-1)^{r(M)-r(x)} \\ &= (-1)^{r(M)} \sum_{x \in L(M)} \mu_M(x) (-1)^{r(x)} \\ &= (-1)^{r(M)} \sum_{x \in L(M)} (-1)^{r(x)} \sum_{\substack{H \subseteq G \\ \text{cl } H = x}} (-1)^{|H|} \\ &= (-1)^{r(M)} \sum_{H \subseteq G} (-1)^{|H|+r(H)} \\ &= (-1)^{r(M)} \sum_{H \subseteq G} (-1)^{|H|-r(H)}. \end{aligned}$$

Here, as everywhere in this problem, we demand that a subgraph of G (or of an arbitrary graph) contain all vertices of G , and identify subgraphs of G with their sets of edges. So our eventual aim will be to show that this sum $\sum_{H \subseteq G} (-1)^{|H|-r(H)}$ counts the acyclic orientations of G . Let N denote the number of such orientations.

Using inclusion-exclusion, we may count the acyclic orientations of G in terms of the orientations containing particular simple (i.e. non-self-intersecting) directed cycles of G . Let C be the set of all directed cycles that appear in any orientation of G ; then

$$N = \sum_{S \subseteq C} (-1)^{|S|} N(S) \tag{4}$$

where $N(S)$ is the number of orientations of G which contain every directed cycle in S .

When S contains two cycles assigning opposite directions to a single edge, then certainly $N(S) = 0$. If this is not the case we'll call S *compatible*. In an orientation of G containing a compatible set S of cycles, the direction of all edges in $\bigcup S$ are fixed while the other edges are unrestricted, so that writing $\text{supp } S$ for the subgraph of G containing just those edges which receive a direction in S , we have $N(S) = 2^{|E(G) \setminus \bigcup S|}$. This also counts the subgraphs of G that contain the undirected subgraph $\bigcup S$, so that we can rewrite the sum (4) as

$$\begin{aligned} N &= \sum_{S \subseteq C} \sum_{\substack{\text{compatible} \\ \text{supp } S \subseteq H \subseteq G}} (-1)^{|S|} \\ &= \sum_{H \subseteq G} \sum_{\substack{S \subseteq C \\ \text{compatible} \\ \text{supp } S \subseteq H}} (-1)^{|S|}. \end{aligned} \tag{5}$$

Pick a subgraph H of G . The term of this last sum corresponding to H is counting the compatible sets of directed cycles S whose union is supported on H , weighted by $(-1)^{|S|}$. We claim that we may reinterpret this as counting the oriented subgraphs K of H which are a union of directed cycles in some way, weighted by $(-1)^{|K| - r(K)}$. Equivalently, if we collect the sets S together by the form of the graph $K = \bigcup S$, then our claim is that the number of S that give rise to a given K , weighted by $(-1)^{|S|}$, is $(-1)^{|K| - r(K)}$.

For this, we fix K and consider the subgraphs L of K that are unions of cycles. These form a partial order \mathcal{L} by inclusion, which indeed is a lattice: the union of two subgraphs L, L' that are unions of cycles is itself a union of cycles, so it's the join $L \vee L'$, and then since \mathcal{L} is finite and has a minimal element it also has meets (the meet of L and L' is the join of all their mutual lower bounds). Furthermore, the atoms of \mathcal{L} are just the single directed cycles contained in K , so by definition \mathcal{L} is atomic. Therefore our lemma from (a) applies here, telling us that the number of S that give rise to K is simply $\mu_{\mathcal{L}}(K)$. So we want to show that

$$\mu_{\mathcal{L}}(K) = (-1)^{|\text{supp } K| - r(\text{supp } K)}. \tag{6}$$

This exponent $|\text{supp } K| - r(\text{supp } K)$ gives the size of the complement of any spanning forest of $\text{supp } K$.

We first argue that $|\text{supp } K| - r(\text{supp } K)$ is the rank of \mathcal{L} , and that \mathcal{L} is graded by the function $r_{\mathcal{L}} : L \mapsto |\text{supp } L| - r(\text{supp } L)$. Suppose L covers L' . We claim that $L \setminus L'$ is a set of k edges (v_{i-1}, w_i) , where $c_0, c_1, \dots, c_{k-1}, c_k = c_0$ are distinct weakly (and therefore strongly) connected components of L' , and $v_i, w_i \in c_i$. From this description one can check $r_{\mathcal{L}}(L) = r_{\mathcal{L}}(L') + 1$: moving from L' to L introduces k edges and the spanning forest requires expansion by $k - 1$ edges.

To see this, take any edge e of $L \setminus L'$. Then $L \setminus L'$ contains every edge e' such that every cycle containing e' contains e as well, because L' is a union of cycles. Removing only these edges e' leaves a graph that is a union of cycles, so since L covers L' the difference contains only these edges e' . Now, L' is partitioned into strongly connected components. Consider the graph obtained by contracting along every edge of L' ; its vertices corresponds to components of L' , the only edges that remain are those of $L \setminus L'$, and every cycle in L contracts to a cycle in L' . Then this graph is nonempty, since it contains e ; it contains a cycle, because e is contained in some cycle; and it cannot contain more than a single cycle, because if it did a single cycle within it would come from a proper subgraph of L containing L' strictly, contradicting covering.

Now, having established the rank function on \mathcal{L} , we're ready to attack (6). We'll induce on the rank of \mathcal{L} . We'll take as base cases $\text{rank } \mathcal{L} = 0, 1$; these are trivial to check. So suppose \mathcal{L} has rank ≥ 2 . By the inductive hypothesis applied to every interval $[\hat{0}, x]$ of \mathcal{L} , the Möbius function of \mathcal{L} is $\mu_{\mathcal{L}}(x) = (-1)^{r_{\mathcal{L}}(x)}$ everywhere except possibly at $x = \hat{1} = L$. So we need to check that this holds there as well. In other words, if we define $\nu : \mathcal{L} \rightarrow \mathbb{Z}$ to agree with $\mu_{\mathcal{L}}$ away from L and to have $\nu(L) = (-1)^{r_{\mathcal{L}}(L)}$, we want to check that $\sum_{x \in L} \nu(x) = 0$.

Let $x \in \mathcal{L}$, and let M be the graph obtained from L by contracting every edge of x . Then unions of cycles in M correspond naturally via an order-preserving bijection to unions of cycles in L that contain x . This establishes that the interval $[x, \hat{1}]$ of \mathcal{L} has the same form as \mathcal{L} (i.e. it's the lattice of a cycle arrangement), so that if $x \neq \hat{0}$ then the inductive hypothesis applies to it. In particular, its Möbius function is ν , up to a sign. Now, let L' be a coatom of \mathcal{L} , so that we have $\sum_{0 \leq x \leq L'} \nu(x) = 0$ since ν is simply the Möbius function on $[\hat{0}, x]$. The poset $\mathcal{L} \setminus [\hat{0}, x]$ contains one minimal element corresponding to each atom (i.e. cycles) in L not less than L' ; let A be the

set of these atoms. By inclusion-exclusion, we have

$$\sum_{y \not\leq x} \nu(y) = \sum_{S \subseteq A} (-1)^{|S|} \sum_{y \geq \bigvee S} \nu(y) = \sum_{S \subseteq A} (-1)^{|S|} \sum_{y \geq \bigvee S} \mu_{[y, \hat{1}]}(y) \quad (7)$$

in which each term of the outer sum on the right will be 0 so long as no join of elements of A is $\hat{1}$, and certainly if the join of all elements of A is not $\hat{1}$. For this we invoke the characterisation of coatoms we came to in our discussion of the rank function, giving $L \setminus L'$ as a collection of edges of some particular form. The elements of A are all the (simple) cycles containing $L \setminus L'$. But if any edge $e \in L \setminus L'$ is incident to a vertex v to which another edge $e' \notin L \setminus L'$ is also incident in the same sense (either both are in-edges or both are out-edges), then e' can be contained in no cycle containing e , so $\bigvee A$ doesn't contain e' ; whereas if this doesn't happen, then $L \setminus L'$ is a cycle and a component of L unto itself, and this cycle is the only element of A , and then $\bigvee A \neq L$ since L' is nonempty. So the value in (7) is 0, proving our claim about $\mu_{\mathcal{L}}$ in (6).

Stepping back, what we've just justified gives us the equality

$$\sum_{\substack{S \subseteq C \text{ compatible} \\ \text{supp } S \subseteq H}} (-1)^{|S|} = \sum_{\substack{K \text{ is a union of directed cycles} \\ \text{supp } K \subseteq H}} (-1)^{|\text{supp } K| - r(\text{supp } K)}$$

which we were planning to use to get a handle on (??). We now claim that this sum is $(-1)^{|H| - r(H)}$. This, by our very first chain of equalities, will give us $|\chi_M(-1)| = N$, finishing the problem.

For this, we use induction on the number of vertices of H (over arbitrary H ; H is no longer constrained to be a subgraph of any particular graph G). As a base case, suppose that all edges of H are loops; this is in particular necessarily true when H has just one vertex. Then each directed simple cycle on H consists of just one of these loops, given either direction, and every loop yields two directed simple cycles in this way. Therefore every *partial orientation* K of H , i.e. an assignment of directions to some of the edges of H , is a union of directed cycles. The support of such a partial orientation K simply contains all the loops which are directed in either sense, and the rank of this support is always 0, so the weight with which K is counted is $(-1)^{|\text{supp } K|}$. Therefore our sum

$$\sum_{K: \text{supp } K \subseteq H} (-1)^{H - r(H)}$$

(over all K that are unions of directed cycles) factors into a product of one sum for each loop of H , of the form $1 + (-1) + (-1) = -1$, the 1 arising from leaving this loop undirected and the two -1 s from giving it either of the two possible directions. The sum thus evaluates to $(-1)^{|H|} = (-1)^{|H|-r(H)}$, as desired.

For the inductive step, we assume H contains a nonloop e . Let H' be the contraction of H on e . Then $|H'| = |H| - 1$ and $r(H') = r(H) - 1$, so that $(-1)^{|H'|-r(H')} = (-1)^{|H|+r(H)}$. We'll then proceed by setting up a correspondence between unions K of directed cycles on H and unions K' of directed cycles on H' . It won't be a one-to-one correspondence; but it will have the property that each K corresponds to just one K' , and the sum of the weights $(-1)^{|\text{supp } K|-r(\text{supp } K)}$ over all K corresponding to a given K' is the same weight for K' . This, together with the observation $(-1)^{|H'|-r(H')} = (-1)^{|H|+r(H)}$, will complete the induction.

So, let K be a union of directed cycles on H . Let K' be the natural corresponding object on H' , obtained by leaving all the edges other than e alone. This is still a union of cycles. Conversely, given K' , we wish to determine which K it could have come from. There are at most three possibilities for K : the new edge e can be undirected or directed in either of two senses, and the remainder of the partial orientation is determined by K' . Let $v_1 \neq v_2$ be the endpoints of e in H , and let v be the vertex they merge to in H' . Then we have a number of cases to consider, according to what the in- and out-degrees of v_1, v_2 are in K' (i.e. what these degrees become in K if e is chosen to be undirected).

Since v is in a union of cycles, it has an in-edge if and only if it has an out-edge. Thus we have the following possibilities for the in- and out-degrees of v_1 and v_2 :

Some v_i has no incident directed edges. In this case e must be made undirected in K (i.e. there is one possible K). Then $|\text{supp } K| = |\text{supp } K'|$ and $r(\text{supp } K) = r(\text{supp } K')$, so $\text{weight } K = \text{weight } K'$.

Some v_i has in-edges but no out-edges, or vice versa. First note that if one of v_1 or v_2 fulfil this description then it's with respect to opposite directions, and also that this case and the last cannot both occur: this is because v must have an in-edge iff it has an out-edge. Therefore, in this case, e must be made directed in K in order that v_i have both an in- and an out-edge, and there is a unique valid choice of direction.

We have $|\text{supp } K| = |\text{supp } K'| + 1$ and $r(\text{supp } K) = r(\text{supp } K') + 1$ (a spanning forest for $\text{supp } K'$ needs to be supplemented with e to get one for $\text{supp } K$), so again $\text{weight } K = \text{weight } K'$.

Each v_i has both in- and out-edges. In this case all three possibilities for K can be checked to yield a union of cycles. Let K_0, K_1, K_2 respectively denote the partial orientations where e is undirected, directed $v_1 \rightarrow v_2$, and directed $v_2 \rightarrow v_1$. Now, for K_0 we have $|\text{supp } K_0| = |\text{supp } K'|$ but $r(\text{supp } K_0) = r(\text{supp } K) + 1$ (pulling a vertex apart will disconnect the spanning tree, and it needs another edge to be reconnected), so that $\text{weight } K_0 = -\text{weight } K$. However, K_1 and K_2 have $\text{weight}(K_1) = \text{weight}(K_2) = \text{weight}(K)$ just as in the previous case, so that

$$\text{weight}(K_0) + \text{weight}(K_1) + \text{weight}(K_2) = (-1 + 1 + 1) \text{weight}(K) = \text{weight}(K).$$

Thus in every case we've confirmed the required properties of our correspondence with respect to weight. As remarked above this completes our final induction, and thereby completes the proof.